



DEVELOPMENT OF ENSEMBLE-BASED PROBABILISTIC FLOOD MAPS FOR PROBABLE MAXIMUM FLOOD

A report submitted to fulfill the research requirements of Hydro Research Foundation Fellowship

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May 31, 2018

Disclaimer: This report is part of a manuscript submitted for peer reviewed journal publication. The current results shall be considered preliminary and are subject to change during the external peer review process.

3 **Development of Ensemble-based Probabilistic Flood Maps for**
4 **Probable Maximum Flood ***

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106 **Abstract**

107 The magnitude and frequency of hydro-meteorological extremes are expected to increase in a
108 changing environment that threaten the security of US energy-water assets. This includes
109 probable maximum precipitation (PMP) and probable maximum flood (PMF) which are used as
110 hydraulic design standards for critical infrastructures such as major hydropower reservoirs and
111 nuclear power plants. To assess the potential flood hazards due to PMP/PMF, an integrated high-
112 resolution process-based hydro-meteorologic modeling framework was utilized to develop
113 ensemble-based probabilistic flood maps based on best-available historic observations and future
114 climate projections. A graphical process unit accelerated 2-dimensional hydrodynamic model
115 was used to simulate the surface inundation areas corresponding to a total of 120 PMF
116 hydrographs. These ensemble-based PMF maps were compared with flood maps obtained from
117 the conventional deterministic PMP/PMF approach, revealing added information of conditional
118 probability of flooding. Further, a relative sensitivity test was conducted to explore the effects of
119 various factors in the framework such as meteorological forcings, antecedent hydrologic
120 conditions, reservoir storage, and flood model input resolution and parameters. The proposed
121 framework better illustrates the uncertainties associated with model inputs, parameterization and
122 hydro-meteorological factors allowing more informed decision-making for future emergency
123 preparation.

124 **Keywords:** Probable maximum precipitation (PMP), Probable maximum flood (PMF),
125 Graphical Processing Units (GPU)

126 **1. Introduction**

127 Floods are one of the most destructive natural hazard causing deaths and damages to
128 infrastructures worldwide. The United States (US) alone has observed 29 billion-dollar scale
129 flood events in the period of 1980–2018 with a total of 543 deaths and roughly 122 billion
130 dollars inflation adjusted loss (NCEI, 2018). The increasing frequency and magnitude of flood
131 events under a changing climate, land use land cover and population require better predictability
132 and preparedness towards flood hazards. The flood inundation maps serve as a critical input to
133 flood risk assessments and enable development of informed floodplain management and
134 mitigation strategies. In the US, Federal Emergency Management Agency (FEMA) utilize
135 hydrologic and hydraulic models to delineate flood inundation zones associated with 1% and
136 0.2% annual exceedance probability (AEP) (or 100-year and 500-year return period) to support
137 the National Flood Insurance Program (FEMA, 2018). For critical energy-water infrastructures
138 including major hydropower dams and nuclear power plants, the even rarer events (AEP < 0.2%)
139 or probable maximum flood (PMF) are the focus. Similar inundation maps developed for PMF-
140 scale events may serve as a useful tool to evaluate the vulnerability of critical infrastructures
141 under the worst case flooding scenario, as well as to identify regions with minimum flooding
142 likelihood to support future site selection.

143 A general procedure to prepare flood inundation maps (hereinafter referred as “modeling
144 chain”) associated with PMF involves: probable maximum precipitation (PMP) estimation,
145 followed by hydrologic simulation, and hydrodynamic/hydraulics modeling. Since the current
146 practice of PMP/PMF assessment focuses on estimating the single deterministic maximum
147 precipitation and streamflow event (that could occur under a series of adverse hydro-
148 meteorological conditions), the conventional PMF inundation maps are also deterministic in

149 nature. However, deterministic maps would inevitably refrain the decision makers or planners
150 from the underlying uncertainties, given the binary (wet or dry) representation of the resulting
151 flood inundation maps. While the advanced deterministic maps employ process based hydrologic
152 and hydraulics model calibrated to historic events (Di Baldassarre et al., 2010), these maps are
153 unable to capture the uncertainties arising from various sources in the modeling chain such as
154 inaccurate input data, boundary conditions, model structure, and model parameterization
155 (Alfonso et al., 2016; Di Baldassarre et al., 2010). Therefore, the values and potential of
156 probabilistic flood maps (PFMs) are now highlighted (Alfonso et al., 2016; Di Baldassarre et al.,
157 2010; Papaioannou et al., 2017). Recent advances in computational power has allowed the use of
158 computationally intensive hydrologic-hydraulics models to develop PFM through multi-
159 ensemble simulation (Neal et al., 2013). The uncertainty characterization may be performed at
160 various stages of modeling chain by varying factors such as precipitation (Caseri et al., 2016),
161 spatiotemporal rainfall variability (Jenkins et al., 2017; Nuswantoro et al., 2016; Zischg et al.,
162 2018), spatial dependence of flow from tributaries (Neal et al., 2013; Pattison et al., 2014),
163 hydrologic model parameters or inputs (Domeneghetti et al., 2013), hydraulic model types
164 (Papaioannou et al., 2016), hydraulic model roughness coefficient (Papaioannou et al., 2017),
165 and different digital elevation models and observational data sets (Giustarini et al., 2016;
166 Papaioannou et al., 2016).

167 While a few studies have focused on the development of flood inundation maps for the
168 largest historic events (e.g., Pedrozo-Acuña et al., 2015) or for events with return period ranging
169 from hundreds (Smemoe et al., 2007) to thousands of years (Büchele et al., 2006; Prime et al.,
170 2016), studies evaluating flood inundation maps for rare hydroclimate extreme events such as
171 PMP/PMF are limited (Zischg et al., 2018). Further, recent studies have suggested the sensitivity

172 of PMP/PMF in a warming environment (e.g., Kunkel et al., 2013; Beauchamp et al., 2013;
173 Rousseau et al., 2014; Stratz and Hossain, 2014; Klein et al., 2016; Rastogi et al., 2017;
174 Gangrade et al., 2018) and challenged the deterministic treatment of PMP/PMF. It has also been
175 suggested that both epistemic and aleatoric uncertainties involved in the estimation of PMP
176 (Micovic et al., 2015). For instance, PMP and PMF estimates are often derived for a point
177 location of interest without considering variability originating from spatiotemporal rainfall
178 distribution or watershed heterogeneity. Through Monte Carlo simulation, Zischg et al. (2018)
179 demonstrated that the spatiotemporal distribution of PMP has significant effects on the resulting
180 PMF inundation maps. Other factors such as antecedent soil moisture, meteorological forcings,
181 land use landcover and reservoir operation (Gangrade et al., 2018) may introduce further
182 uncertainties to the estimated PMF and consequently the resulting surface inundation area.
183 Moving forward, it is of critical importance to advance our concept and practice from the
184 conventional, deterministic treatment of PMP/PMF to an ensemble-based, probabilistic flood
185 mapping approach to better analyze and quantify the vulnerability of critical energy-water
186 infrastructures in a changing environment.

187 In this study, building upon our prior works on PMP/PMF simulations (Rastogi et al., 2017;
188 Gangrade et al., 2018), we present a high-resolution, process-based, hydro-meteorological
189 modeling framework to produce probabilistic flood inundation maps for PMF. The main
190 objectives of the study are: (1) employ an ensemble-based approach to translate uncertainties
191 associated with PMP to flood inundation maps, (2) prepare flood inundation probability maps
192 illustrating uncertainties associated in the flood hazard modeling chain for PMF, and (3) quantify
193 the potential impacts of environmental change on the inundation areas of PMF. The study area
194 includes areas immediately upstream and downstream of the second largest dam (by maximum

195 storage capacity in Alabama Coosa Tallapoosa River Basin), Allatoona Dam, in Georgia, US.
196 For PMP, we used an ensemble of 120 storms simulated by the Weather Research Forecasting
197 model (WRF; Skamarock et al., 2008), driven by both reanalysis and climate projection forcings
198 using the approach as described by Rastogi et al. (2017). These PMP storms were then used to
199 simulate PMF through a high resolution Distributed Hydrologic Soil Vegetation Model
200 (DHSVM; Wigmosta et al., 1994) as described in Gangrade et al. (2018). The ensemble of PMF
201 hydrographs was further used to drive a high-resolution, Graphics Processing Unit (GPU)
202 accelerated 2-dimensional dynamic wave flood model (Flood2D-GPU; Kalyanapu et al., 2011)
203 to simulate the spatiotemporal evolution of PMF and to develop the ensemble-based probabilistic
204 flood maps. Apart from better showing uncertainties (as opposed to the deterministic approach),
205 the ensemble-based flood mapping approach allows us to better visualize the potential impacts of
206 PMF through a more intuitive manner. The study also includes a relative sensitivity experiment
207 to evaluate the sensitivity of various factors in the modeling chain including inputs such as
208 precipitation, hydrologic model antecedent conditions, and hydraulic model parameters.

209 This paper is structured as follows: Section 2 introduces the overall method, data, and study
210 area; Section 3 illustrates and describes results; and Section 4 presents a summary and the
211 conclusion of this study.

212 **2. Methods**

213 **2.1 Study Area**

214 Our study area consists of Etowah watershed, located in northwestern Georgia, United States.
215 The Etowah watershed is an eight-digit hydrologic unit (HUC08) with an approximate drainage
216 area of 4821 km² (1861 mi²; Figure 1) and is a part of Alabama-Coosa-Tallapoosa River Basin.
217 It drains parts of 15 counties of Georgia with major urban areas including city of Cartersville and

218 Atlanta's metropolitan areas such as Woodstock, Marietta and Alpharetta. The Etowah
219 watershed includes a large multi-purpose reservoir, Allatoona Lake and Dam, owned and
220 operated by the United States Army Corps of Engineers (USACE), with a maximum storage
221 capacity of roughly 826.5 million m³. While the headwaters of Etowah Watershed include
222 mountainous areas (such as Piedmont mountains), the topography of the rest of the watershed is
223 moderate with the elevation ranges from ~176 m (577 ft) to 1,147 m (3,763 ft) based on the
224 United States Geological Survey (USGS) National Elevation Dataset (NED; Gesch et al., 2002).
225 The region receives precipitation of roughly 1,336 mm per year predominantly in the form of
226 rainfall, with light snowfall in the headwater region. The major soil types include sandy loam
227 and silty loam. As per National Land Cover Database (NLCD), 61% of the basin is covered by
228 forests, 18.5 percent under small vegetation, and 18.5 percent fall under developed category.

229 [Figure 1]

230 The meteorological and hydrologic simulations were conducted for the entire Etowah
231 Watershed, while the flood (hydraulic) simulations were conducted for two selected regions
232 upstream (ME01) and immediate downstream (ME02) areas of Allatoona Dam (Figure 1). These
233 areas were selected such that it includes urban areas of Atlanta metropolitan area and city of
234 Cartersville. The computational domains for Flood2D-GPU for both regions ME01 and ME02
235 are larger than the area of interest, with corresponding area of 358 km² and 507 km². The
236 computational domains are selected larger than the area of interest to avoid any potential
237 backwater effects and computational domain boundary artefacts.

238 **2.2 Modeling Framework**

239 This study utilizes an ensemble based, high-resolution process-based modeling framework to
240 develop flood inundation maps associated with probable maximum flood estimates. The main

241 steps involve 1) simulation of PMP 2) simulation of PMF hydrographs and, 3) simulation of
242 PMF flood maps. A brief overview is provided below:

243 **2.2.1 Simulation of PMP**

244 The PMP simulation was performed for an ensemble of 120 moisture-maximized storms by
245 Rastogi et al. (2017) using a WRF version 3.6, a mesoscale numerical weather model, running
246 using a double two-way nested domain at 9 km and 3 km horizontal spacing. The boundary
247 forcings for WRF simulations included both Climate Forecast System Reanalysis I (CFSR; Saha
248 et al., 2010) and Community Climate System Model version 4 (CCSM4; Gent et al., 2011). The
249 storms include four sets:

250 (1) **CFSR-CT**: Controlled simulation that includes the 30 largest historic storms during the
251 1981–2011 historic period driven by CFSR reanalysis.

252 (2) **CCSM4-BL**: Baseline simulation that includes the 30 largest storms driven by both
253 1981–2005 in the historical period and 2006–2010 in a future period under an RCP8.5
254 scenario of CCSM4 experiments.

255 (3) **CCSM4-F1**: Near-future simulation that includes the 30 largest storms driven by a 2021–
256 2050 CCSM4 projection under an RCP8.5 scenario.

257 (4) **CCSM4-F2**: Far-future simulation that includes the 30 largest storms driven by a 2071–
258 2100 CCSM4 projection under an RCP 8.5 scenario.

259 The Relative Humidity Maximization method (RHM; Ohara et al., 2011; Ishida et al., 2015)
260 that adjusts relative humidity in the boundary conditions of the entire atmospheric column to
261 100% (i.e., fully saturated) was then used to simulate moisture-maximized storms to derive PMP.
262 Prior to PMP generation, the WRF simulations were extensively evaluated against both Oregon
263 State University’s PRISM (Daly et al., 2008) and Oak Ridge National Laboratory’s (ORNL)

264 Daymet (Thornton et al., 1997) gridded precipitation data sets to select most appropriate
265 parameterization scheme. The readers are referred to Rastogi et al. (2017) for further technical
266 details regarding PMP estimation and WRF performance evaluation.

267 In addition, conventional PMP rainfall estimates were obtained using HMR51 and HMR52 to
268 provide a reference and enable comparison of ensemble-based simulations with conventional
269 deterministic approach. The conventional approach requires conversion of depth-area-duration
270 table to generate spatiotemporal storm hyetograph, after a deterministic PMP depth is chosen. In
271 this study, we use spatial hourly precipitation grid as an input for hydrologic simulation which
272 allows to capture the spatial structure of each storm. A key difference between the ensemble
273 approach and conventional PMP estimate relies in identifying the most critical PMF event based
274 on hydrologic and hydraulic simulations for each of the moisture-maximized storm as opposed to
275 one deterministic event based on synthetic hyetograph. The ensemble-based approach allows to
276 help us better understand the uncertainty associated with PMP estimates.

277 **2.2.2 Simulation of PMF**

278 The PMF simulations were conducted by using each of the 120 storms mentioned in Section
279 2.2.1 as an input meteorological forcing to a high resolution distributed hydrologic model known
280 as distributed hydrology soil vegetation model (DHSVM). The hydrologic model was selected
281 due to its previous applications in studying climate change impacts on streamflow extremes and
282 ability to provide high resolution output (e.g., streamflow at each channel in the stream network)
283 which serves as a key input to drive flood model. DHSVM performs mass (water) and energy
284 balance calculations at each grid cell and accounts for hydrological processes such as
285 evapotranspiration, snowmelt, canopy snow interception and release, unsaturated soil moisture,
286 saturated subsurface flow, overland flow, and channel flow. The spatially distributed parameters

287 include topography, soil, and vegetation. The model requires a set of meteorological inputs that
288 includes precipitation, shortwave and longwave radiation, relative humidity, wind speed, and air
289 temperature. A detailed description of DHSVM can be found in Wigmosta et al. (1994 and 2002)
290 and Storck et al. (1998).

291 The DHSVM setup for Etowah Watershed was obtained from Gangrade et al. (2018) which
292 is a part of a much larger modeling effort performed for a HUC04 region i.e. Alabama-Coosa-
293 Tallapoosa River Basin. A brief description of the DHSVM setup is provided below. The readers
294 are referred to Gangrade et al. (2018) for associated detailed technical description regarding
295 setup, calibration and validation. The DHSVM was setup at a fine 90-m horizontal grid
296 resolution at 3-h time steps from 1980 to 2012, using 1980 for model spin-up. A 90-m resolution
297 digital elevation model (DEM) was resampled from the 30-m resolution NED for Etowah
298 Watershed. The DEM serves as the base map and additional datasets including soil depth, soil
299 type, and LULC type, were obtained at the same resolution of DEM. The stream network was
300 obtained from the National Hydrography Dataset Plus (NHDPlus; McKay et al., 2012) for
301 accurate representation of channels in the study area. The soil data was obtained from a
302 multilayer contiguous US soil characteristics data set (Miller and White, 1998) derived from the
303 State Soil Geographic Database (Schwarz and Alexander, 1995). A set of soil-hydraulic
304 properties such as porosity, hydraulic conductivity, wilting point, bubbling pressure, and field
305 capacity were assigned to every soil texture type (Maidment, 1993) for the dominant soil texture
306 type at each grid cell. The LULC map was obtained from the USGS National Land Cover
307 Database 2006 (NLCD; Fry et al., 2011). A set of vegetation properties including leaf area index,
308 albedo, stomatal resistance, moisture threshold, and fractional coverage were assigned to every
309 land cover type.

310 The PMF was obtained by employing the concept of meteorologically transpositionable
311 storms to identify the most critical PMP input for the Etowah Watershed. Due to an overall
312 smooth topography in the southeast United States, this approach allows to identify the most
313 intense portion of a storm and transposition it over the desired study area. As a result, depending
314 on the size and shape of a selected watershed, the transpositioned PMP storm inputs may be
315 different. For each of the 120 moisture-maximized storms (as described in Section 2.2.1), the
316 largest 72 hr average precipitation over the watershed was identified and transpositioned re-
317 gridded to the same 4 km DHSVM radar rainfall format used for DHSVM calibration and
318 validation. In addition, the WRF output also provides shortwave and longwave radiation,
319 humidity, and other required DHSVM meteorological inputs. As per the NRC guidelines (Prasad
320 et al., 2011), a meteorological sequence that included 40% of PMP in the first 72 h (antecedent
321 precipitation), followed by 72 h of no precipitation, and then 72 h of full PMP (critical
322 precipitation) was used as the default setup to simulate PMF. The fully saturated moisture
323 conditions were utilized at the beginning of DHSVM simulations. The simulation was continued
324 for another 6 days to ensure the capture of peak flood hydrographs. This approach provides an
325 ensemble of simulated DHSVM streamflow hydrographs for each set of storms (CFSR-CT,
326 CCSM4-BL, CCSM4-F1, and CCSM4-F2).

327 To compare the results with conventional PMF, PMP rainfall inputs were also calculated for
328 Etowah Watershed using HMR51 and HMR52. The 72-h HMR PMP hyetograph was computed
329 using a critically stacked temporal pattern, which was used to drive the DHSVM simulation. The
330 critically stacked pattern allowed the occurrence of PMP for all durations (e.g., 1, 6, 12, 24, 48,
331 72 h) within a single storm of 72-h duration to generate a high-intensity storm (HMR52).

332

333 **2.2.3 Flood Modeling**

334 The flood simulation for this study was performed using the computationally enhanced
335 version of the 2D hydraulic model Flood2D-GPU (Marshall et al., 2017), originally developed
336 by Kalyanapu et al. (2011). The numerical algorithm in Flood2D-GPU utilize a first-order
337 accurate upwind finite difference scheme that solves the non-linear hyperbolic shallow water
338 equations (SWE) (Saint Venant Equations). These equations are simplified version of the
339 Navier-Stokes equations, where the horizontal momentum and continuity equations are
340 integrated over depth. The numerical model implements a structured grid to take advantage of
341 the uniform grid structure of the DEM data. Flood2D-GPU has the capability to use a spatially
342 varying surface roughness coefficient (Manning's n value), which can be obtained from a
343 standard table for a given land cover data (Phillips and Tadayon, 2007). The computational
344 performance of the Flood2D-GPU model was improved using a hybrid MPI+CUDA architecture.
345 The model speed up for the MPI + multiple Graphics Processing Unit (GPU) version was up to
346 18x when compared to an identical single-process Open Multi-Processing (OpenMP) version
347 (Marshall et al., 2017). The high performance of the model therefore allows to perform an
348 ensemble simulation for two domains ME01 (~400,000 grid cells, 360 sq. km) and ME02
349 (~563,000 grid cells, 507 sq. km). The simulations were conducted on Titan supercomputer
350 maintained by the Oak Ridge Leadership Computing Facility at Oak Ridge National Laboratory.

351 The key input data required for Flood2D-GPU model are terrain data, surface roughness
352 (Manning's n value), inflow source locations and corresponding flow hydrographs. In this study,
353 the 30m resolution DEM data is obtained from NED and a constant Manning's n value (0.035) is
354 utilized to setup the Flood2D-GPU. The inflow locations (Figure 1) are defined at the end of the
355 channel segments obtained from NHDPlus network. The corresponding hydrographs for each

356 120 storms are extracted from the high-resolution hydrologic outputs from DHSVM (Section
357 2.2.2) at three hourly time-step for both domains, i.e., ME01 and ME02. Since, the DHSVM
358 outputs cumulative flow for each channel location, a post hydrologic-simulation water balance
359 correction was applied to estimate incremental flow hydrographs for locations when required.
360 The Flood2D-GPU performance is evaluated and presented in later section (please see Section
361 3.1). The flood model output was stored at a 10-minutes interval for each storm. The current
362 model setup allows to capture riverine or fluvial floods, the pluvial flood simulation capabilities
363 will be incorporated in the future model improvements.

364 An additional set of 120 flood simulations were conducted for ME02 to account for the
365 reservoir operation effects in a rudimentary way. Since DHSVM setup in this study do not
366 account for reservoir presence in the watershed, a post-hydrologic simulation correction was
367 performed to adjust PMF hydrograph immediately downstream of Allatoona Lake and Dam. The
368 correction involved subtracting a water volume equivalent to the maximum storage capacity of
369 the Allatoona reservoir, i.e., 826.5 million m³ from the peak portion of the PMF hydrograph. This
370 corrected hydrograph in addition to natural flow from other tributaries serve as an input to ME02
371 to drive Flood2D-GPU for each of the 30 storm sets from CFSR-CT, CCSM4-BL, CCSM4-F1
372 and CCSM4-F2.

373 This correction provided a maximum possible flood mitigation in terms of flood inundation
374 extents for the immediately downstream areas, under a best-case ideal flood management
375 scenario, which assumed a perfect PMF prediction and completely empty reservoirs at the
376 beginning of the PMF event. While actual reservoir operation will be different and more
377 complex, this assessment can aid understanding of the maximum PMF retention capacity of the
378 existing reservoirs and its impacts on flood mitigation.

379 2.3 Probabilistic Flood Mapping

380 For each storm's flood simulation (realization), the Flood2D-GPU simulation outputs were
381 post processed to obtain a binary wet-dry map where for each grid cell the distinction was made
382 by evaluating the flood depths (i.e. dry: flood depth equal zero, and wet was characterized as
383 flood depth greater than zero).

384 This results in a map of flood inundation extent for each realization. The probabilistic value
385 of flooding for any given cell was then calculated by weighing each storm equally using
386 Equation 1. This approach was utilized to produce one probabilistic flood map for each of the
387 storm sets i.e. CFSR-CT, CCSM4-BL, CCSM4-F1 and CCSM4-F2, for both ME01 and ME02.
388 In addition, probabilistic flood inundation maps were also generated for ME02 under reservoir
389 operation.

$$390 \quad P_{cell} = \frac{\sum_{i=1}^{i=N} X_i}{N} \quad \text{Equation 1}$$

391 Where,

392 P_{cell} = probability to flood for any given cell

393 X_i = 0 (dry) or 1(wet) for realization 'i'

394 N = total number of realizations/flood event simulations

395 These probability values can then be presented as a spatial map of conditional probability of
396 flooding given a PMF event has occurred for the region of interest. The probabilistic flood maps
397 were generated for two model domains ME01 and ME02 for each of the 30 storm sets for CFSR-
398 CT, CCSM4-BL, CCSM4-F1 and CCSM4-F2. The flood simulations are referenced by adding
399 the subscript ME01, ME02 and ME02R after the name of storm set. For example, CFSR-CT-
400 ME01, CFSR-CT-ME02 and CFSR-CT-ME02R refers to probabilistic flood maps generated for

401 CFSR-CT storms for model domain ME01, ME02 under natural flow, and ME02 under reservoir
402 regulation respectively.

403 **3. Results and Discussion**

404 **3.1 Flood2D-GPU Performance**

405 The Flood2D-GPU performance is evaluated by comparing a simulated 1 in 100-year event
406 flood map against equivalent flood zones (Zone A / Zone AE) obtained from FEMA. This
407 approach captures the spatial extents of flood inundation obtained from simulation and compare
408 it with a benchmark dataset. It has been recently adopted to validate a hydrodynamic model for
409 conterminous US (Wing et al. 2017) and others (Alfieri et al. 2014). The model validation has
410 been performed for model domain ME01. The first step in the process involves estimation of a
411 100-year streamflow value. It was computed by conducting a standard flood frequency analysis
412 at the outlet of the ME01 using guidelines from Bulletin 17B prepared by Interagency Advisory
413 Committee on Water Data (1982). The continuous streamflow data from the control simulation
414 (i.e., DHSVM driven using observed historic precipitation from Daymet) was obtained for a
415 period of 32 years (1981–2012) which serves as an input for flood frequency analysis. A series
416 of annual maximum discharge was extracted from the hydrograph data. A log Pearson Type III
417 (LP3) distribution is then fit to a series of annual maximum discharge, using a skewness
418 parameter obtained for the region based on Plate 1 of Bulletin 17B. The results from the flood
419 frequency analysis are presented in Figure 2.

420 [Figure 2]

421 We employ an ensemble-based approach to validate the Flood2D-GPU, as a single storm
422 may not be able to capture the spatial-variability in the streamflow and may result in
423 underestimation of the flood extents for some channels. The hydrographs were extracted for each

424 of the inflow locations (Figure 1) capturing each of the annual maximum discharge (32 events
425 from 1981-2012). These hydrographs were then scaled to match the peak discharge to a 100-year
426 flood event discharge (i.e., 18950 cfs at outlet of ME01, Figure 2) which served as an input to
427 Flood2D-GPU. The ensemble simulation results in 32 flood inundation maps. The maximum
428 inundation area is then selected from the 32 maps and compared against FEMA 100-year flood
429 map rasterized to a 30 m resolution. The flood maps are compared based on a binary (flooded=1,
430 not-flooded = 0) classification scheme as presented in Table 1. The comparison is performed
431 exclusively for the region located downstream of the inflow locations. The vector-based flood
432 extents for the region of interest were rasterized to Flood2D-GPU grid to enable a direct
433 comparison.

434 [Table 1]

435 Figure 3 presents a comparison of flood inundation extents obtained from Flood2D-GPU and
436 FEMA for 100-year flood event. The regions where Flood2D-GPU accurately predicts the
437 FEMA flood zones are presented in blue. The region with overprediction (i.e. only flooded by
438 Flood2D-GPU but not FEMA) are presented in red, while the regions with underprediction (i.e.
439 flooded by FEMA but not captured by Flood2D-GPU are presented in green. The FEMA zones
440 excluded from this evaluation due to model or other data limitations are presented in grey. The
441 visual inspection reveals that Flood2D-GPU accurately predicts most of the FEMA flood zones.

442 [Figure 3]

443 The four key metrics to demonstrate model performance include hit rate (H), false alarm (F),
444 critical success (C) and error (E) (Table 2). The hit rate (H) provides a measure of model to
445 accurately predict the benchmark flood extents, however does not penalize for overprediction.
446 Flood2D-GPU obtained a $H=0.82$ for ME01 revealing that model can accurately predict 82% of

447 the FEMA flood zones. False alarm (F) that measures overprediction is estimated as 0.15,
448 demonstrating that 15% of the grid cells are falsely reported as flooded by the model. The critical
449 success (C) equivalent to the F squared statistics, a common metric to evaluate spatial extents for
450 flood studies (Bates and De Roo 2000), is estimated as 0.71 providing an overall measure of fit.
451 The C metric adds a penalty to H for any overprediction and underprediction. In addition, the
452 error value (E) for Flood2D-GPU being less than one (0.78) suggests an overall tendency of
453 model to underpredict which predominantly occurs in the upstream reaches close to inflow
454 boundary conditions.

455 [Table 2]

456 These key metrics reveal that Flood2D-GPU performance is at par to the acceptable range of
457 these metrics provided in the literature (Alfieri et al. 2014, Wing et al. 2017). For instance,
458 Alfieri et al. (2014) obtained H values between 0.59-0.78, and C values between 0.43-0.65 for a
459 flood simulation at a 100 m resolution across selected areas in Germany and UK compared with
460 national/regional hazard maps. Wing et al. (2017) performed a similar evaluation for validation
461 of flood hazard model for conterminous US using FEMA flood zones as benchmark, with a H
462 value as 0.685 and 0.815, and C value of 0.55 and 0.50 for a 90m and 30m resolution
463 respectively. The results indicate an overall satisfactory performance of the Flood2D-GPU in
464 comparing spatial extents for 1 in 100-year event against equivalent flood inundation zone
465 obtained from FEMA.

466 **3.2 Ensemble PMF Hydrographs and Comparison with Deterministic Approach**

467 This section presents the ensemble PMF hydrographs for each of the four storm sets (i.e.,
468 CFSR-CT, CCSM4-BL, CCSM4-F1 and CCSM4-F2) at the outlet of the Etowah Watershed
469 (Figure 4). The hydrographs resulting in the largest peak discharge are marked in thick lines and

470 are individually presented in Figure 5a. For further comparison, the PMF hydrograph from the
471 conventional approach (HMR based) is also presented for the Etowah Watershed (Figure 5a).
472 The range of peak discharge values for these events is presented in Figure 5b for Etowah
473 watershed and at the outlet of computational Flood2D-domains ME01 and ME02 in Figure 5c
474 and 5d respectively.

475 [Figure 4]

476 [Figure 5]

477 The results indicate that peak discharges obtained for CCSM4-BL and HMR are comparable
478 in magnitude with a maximum peak discharge value of 21,874 m³/s and 18,654 m³/s. The
479 maximum peak discharge for CFSR-CT (27,732 m³/s) is greater as compared to that for CCSM4-
480 BL. This higher discharge could be attributed to higher PMP estimates for CFSR-CT,
481 demonstrating the effect of choice of meteorological forcings on PMF. The effects of climate
482 change on maximum peak discharge indicate a significant increase in peak discharge magnitude
483 with an increase of approximately 58% for near future time period (CCSM4-F1; 2021-2050), and
484 109% for far-future time period (CCSM4-F2;2071-2100). The comparison is performed with
485 reference to peak discharge magnitude obtained for the baseline period CCSM4-BL. These
486 changes could be directly attributed to increased projected PMP estimates in future periods
487 resulting from intensification of hydrologic cycle caused by strong atmospheric warming.
488 Readers are referred to Rastogi et al. (2017) and Gangrade et al. (2018) for further technical
489 details.

490 The results for Etowah Watershed demonstrate a large variability in the hydrograph shapes
491 and peak discharge values (Figure 5b), also observed for ME01 and ME02 (Figure 5c and 5d). In
492 addition to the key factor i.e. PMP magnitude, the variability in the hydrographs can also be

493 attributed to spatiotemporal rainfall structure and watershed heterogeneity. The results highlight
494 the range of uncertainties captured in terms of streamflow estimates which are often missing in
495 the conventional deterministic PMF estimation.

496 **3.3 Development of Probabilistic Flood Maps**

497 An ensemble approach provides multiple hydrographs and peak discharge magnitudes.
498 Generally, the most extreme or worst-case scenario is often selected by choosing the PMF
499 hydrograph with maximum discharge. In this section, we analyze the effects of PMF in terms of
500 flood inundation area by translating these hydrographs into flood inundation maps and
501 comparing the results to flood extents obtained from a conventional approach. This investigation
502 will improve the understanding of flood damages/extents resulting from a PMF event and
503 associated uncertainties.

504 The probabilistic flood maps are prepared as outlined in Section 2.3 and presented for each of
505 the 30 storms for CFSR-CT, CCSM4-BL, CCSM4-F1 and CCSM4-F2 (Figure 6, Panels a
506 through d for ME01, Panels e through h for ME02 and panels i through l for ME02R). The
507 results are presented in term of conditional probability of flooding for a given cell, assuming a
508 PMF has occurred for the region. Panel a and e also include the deterministic flood extents
509 obtained from Flood2D-GPU driven by conventional PMF, presented as white contours overlaid
510 on top of the probabilistic flood maps. In addition, the range of maximum flood inundation area
511 associated with each storm event is presented in Figure 7 for ME01 (Panel a), ME02 under
512 natural flow condition (Panel b) and ME02 under reservoir regulation (Panel c).

513 [Figure 6]

514 [Figure 7]

515 A comparison of maximum flood inundation extent for upstream domain (ME01; Figure 6a)
516 obtained from conventional approach (HMR based approach; 15.67 km²) is very similar to
517 maximum flooding extents of CFSR-CT-ME01 (16.95 km²) and CCSM4-BL-ME01(16.44 km²)
518 resulting in an 8.2 % and 4.9% respective increment in inundation area compared to HMR. On
519 the other hand, the downstream domain (ME02; Figure 6 e) has a larger difference in flood
520 extents obtained from HMR approach (97.5 km²) compared to maximum flood extents of CFSR-
521 CT-ME02 (118.5 km²) and CCSM4-BL-ME02(102.08 km²) resulting in a 21.5 % and 4.7%
522 respective increment in inundation area compared to HMR. A comparison of panels e and i in
523 Figure 6, reveals an overall effect of Allatoona reservoir in regulating flood damages in
524 immediately downstream areas. An ideal reservoir operation results in a decrease of maximum
525 flood inundation area by 9.5 % in case of CFSR-CT.

526 Under climate change, the projected maximum inundation area reveals a likely increase for
527 both near future period (CCSM4-F1) and far-future period (CCSM4-F2). The projected
528 maximum inundation area is computed as 17.44 km² and 19.24 km² respectively for ME01 which
529 suggests an increment of 7.9% and 17 % compared to maximum inundation area obtained for
530 baseline period CCSM4-BL-ME01 (Figure 7a). Similarly, an increase of 19.3% (22.4%) and
531 33.4% (45%) in the maximum inundation area is projected for ME02 (ME02R) for CCSM4-F1
532 and CCSM4-F2 respectively compared to the maximum flood inundation of 102.08 km² (88.87
533 km²) for CCSM4-BL-ME02 (CCSM4-BL-ME02R). The results indicate that increase in
534 maximum inundation area is projected to be higher for ME02R compared to ME02. This
535 suggests that magnitude of storms in future time periods will change such that current reservoir
536 capacity will have lower efficiency to successfully mitigate flood damages downstream of the
537 region.

561 CCSM4-BL for each ME01, ME02 and ME02R. The grid cells either flooded (i.e. probability
562 =1) or non-flooded (i.e. probability =0) in inundation maps for each storm (CCSM4-BL,
563 CCSM4-F1 and CCSM4-F2) are excluded from analysis (Figure 9).

564 [Figure 9]

565 The results indicate that overall probability of flooding will increase by up to 30% in
566 CCSM4-F1 for each ME01, ME02 and ME02R, where most grid cells will observe an increase
567 in probability value ranging between 0–0.15. Similarly, the histogram for CCSM4-F2 indicate
568 that overall probability of flooding will increase by up to 60% for CCSM4-F2 for each ME01,
569 ME02 and ME02R with most cells observing a positive increase ranging between 0–0.25. This
570 process allows the identification of additional areas which may be more susceptible to flooding
571 in addition to the most vulnerable areas (i.e., probability =1). The analysis is further expanded to
572 demonstrate utility of probabilistic flood maps as a tool to identify potential hazards to electricity
573 grid infrastructure arising from PMF events in the downstream region of Allatoona Dam. The
574 electric substation data (Homeland Infrastructure Foundation-Level DATA (HIFLD); Figure 1)
575 overlaid on top of probabilistic flood maps reveal the probability of flooding for the grid cell
576 based on substation location. The individual results are presented in Figure 10. These results
577 identify the substations at high risk of flooding given a PMF event has occurred. Out of 23
578 substations selected for the analysis, 8 substations demonstrate a high probability of flooding
579 (>0.8) for ME02 under natural flow condition, which reduces to 3 substations under the effect of
580 ideal regulation from the reservoir for CCSM4-BL. Similarly, the substation which are currently
581 not at risk of flooding in CCSM4-BL but have a higher chance of flooding in future time periods
582 i.e. CCSM4-F1 and CCSM4-F2 are also identified (for instance, substation #8).

583 [Figure 10]

584 This risk identification can assist in improving current flood mitigation features while also
585 equips the decision makers with information to be utilized in strategic planning and development
586 of future urban areas/infrastructure.

587 **3.5 Sensitivity Analysis**

588 To understand the overall and relative sensitivity of flood inundation to various factors such
589 as meteorological forcings, climate change, hydraulics and hydrologic model inputs and
590 parameters; a comprehensive sensitivity test was performed with following sets of experiments.

591 (1) **Scenario 1–Baseline simulation (S1):** One Flood2D-GPU simulation for ME02 driven
592 by hydrographs associated with the event with maximum peak discharge (out of 30
593 events) obtained from CCSM4 forcings (CCSM4-BL), and default Flood2D-GPU
594 configuration (i.e. 30 m grid resolution, and manning’s n value = 0.035).

595 (2) **Scenario 2–Alternative meteorological forcings (S2):**

596 **S2-a:** One Flood2D-GPU simulation for ME02 using PMF hydrographs with maximum
597 peak discharge obtained from CFSR forcings (CFSR-CT), and default Flood2D-GPU
598 configuration (i.e. 30 m grid resolution, and manning’s n value = 0.035)

599 **S2-b:** One Flood2D-GPU simulation for ME02 using PMF hydrographs with maximum
600 peak discharge obtained from CFSR forcings (CFSR-CT), and default Flood2D-GPU
601 configuration (i.e. 30 m grid resolution, and manning’s n value = 0.035)

602 (3) **Scenario 3–Climate change (S3):**

603 **S3-a:** One Flood2D-GPU simulation for ME02 using PMF hydrographs with maximum
604 peak discharge obtained from near future CCSM4 forcings (CCSM4-F1), and default
605 Flood2D-GPU configuration (i.e. 30 m grid resolution, and manning’s n value = 0.035)

606 **S3-b:** One Flood2D-GPU simulation for ME02 using PMF hydrographs with maximum
607 peak discharge obtained from far future CCSM4 forcings (CCSM4-F2), and default
608 Flood2D-GPU configuration (i.e. 30 m grid resolution, and manning's n value = 0.035)

609 (4) **Scenario 4–Horizontal grid resolution for Flood2D-GPU (S4):** One Flood2D-GPU
610 simulation for ME02 driven by hydrographs associated with the event with maximum
611 peak discharge (out of 30 events) obtained from CCSM4 forcings (CCSM4-BL), and
612 default Flood2D-GPU configuration with 10-m DEM

613 (5) **Scenario 5–Manning's' roughness coefficient (S5):**

614 **S5-a:** One Flood2D-GPU simulation for ME02 driven by hydrographs associated with
615 the event with maximum peak discharge (out of 30 events) obtained from CCSM4
616 forcings (CCSM4-BL), and default Flood2D-GPU configuration with manning's n value
617 = 0.015.

618 **S5-b:** One Flood2D-GPU simulation for ME02 driven by hydrographs associated with
619 the event with maximum peak discharge (out of 30 events) obtained from CCSM4
620 forcings (CCSM4-BL), and default Flood2D-GPU configuration with manning's n value
621 = 0.055.

622 (6) **Scenario 6–Antecedent moisture conditions (S6):** One Flood2D-GPU simulation for
623 ME02 driven by hydrographs associated with the event with maximum peak discharge
624 (out of 30 events) obtained from CCSM4 forcings (CCSM4-BL), with unsaturated soil
625 moisture conditions at the beginning of hydrologic simulation, and default Flood2D-GPU
626 configuration (i.e. 30 m grid resolution, and manning's n value = 0.035)

627 (7) **Scenario 7–Reservoir operations (S7):** One Flood2D-GPU simulation for ME02 driven
628 by hydrographs associated with the event with maximum peak discharge (out of 30

629 events) obtained from CCSM4 forcings (CCSM4-BL) adjusted to reflect ideal reservoir
630 operations and default Flood2D-GPU configuration (i.e. 30 m grid resolution, and
631 manning's n value = 0.035)

632 The simulation results from scenarios S2 through S7 are compared with reference to control
633 scenario (S1) in Figure 11. The relative sensitivity reveals that climate change (S3a and S3b) and
634 meteorological forcings (S2a) are the most sensitive factors for flood inundation area and the
635 median flood depths for ME02. The climate change is likely to cause a relative change of up to
636 33% (47.4%) in inundated area (median flood depth). These differences in inundation areas for
637 the above mentioned scenarios could be mainly attributed to changes in PMP values revealing
638 that precipitation is the most sensitive factor affecting flood regimes. The reservoir operations
639 (S7) can also contribute moderately by reducing the overall flood inundation area by
640 approximately 13% compared to S1. It is important to note that the reduction is calculated under
641 an ideal reservoir operation scenario and will be directly proportional to the maximum storage
642 capacity of reservoir as well. The other two sensitive parameters in this order include the effect
643 of antecedent moisture conditions in the hydrologic model (S6) and the effect of use of a high-
644 resolution DEM (S4). They produce a relative change of -8.2% and +6.7% respectively for the
645 inundation area. The effect of manning's roughness coefficient was found to be least sensitive in
646 this case. A similar trend is also noticed for median flood depths.

647 [Figure 11]

648

649 **4. Summary and Conclusions**

650 In this study, a high-resolution process-based hydro-meteorological modeling framework is
651 presented to generate ensemble based probabilistic flood maps for two selected domains in
652 Etowah Watershed, Georgia located in southeastern United States. These maps are prepared for
653 the worst-case flood scenarios i.e. PMF which occur under adverse set of hydrometeorological
654 conditions including PMP and other hydrologic factors such as saturated antecedent soil moisture
655 conditions. A total of 120 relative humidity maximized PMP storms were obtained under
656 historical and future climate conditions from Rastogi et al. (2017). These storms were used to
657 drive a calibrated hydrologic model (DHSVM) at a 90 m spatial resolution to generate PMF
658 estimates for the selected watershed. The high resolution 3-hourly hydrographs obtained from
659 DHSVM for each storm, were used to drive a two-dimensional GPU based hydraulic model
660 (Flood2D-GPU) at a 30 m spatial resolution to produce flood maps for each storm. The
661 probability of inundation is then calculated at each grid cell of flood domain, used to generate
662 probabilistic flood maps. Further, the relative sensitivity of flood inundation area and median
663 flood depth was evaluated for various factors such as meteorological forcings, climate change,
664 antecedent moisture conditions, and hydraulic model inputs and parameters.

665 The results indicate that peak discharge from the PMF hydrograph is likely to increase
666 significantly for the Etowah watershed region under a changing climate. The region downstream
667 of Allatoona Lake is likely to observe an increase of up to 58% in peak discharge magnitude for
668 near future period i.e. 2021-2050 (CCSM4-F1), and up to 109% for far future period i.e. 2071-
669 2100 (CCSM4-F2) under RCP8.5 compared to baseline period (CCSM4-CT). These changes in
670 PMF translate into approximately 19% and 33% increase in the flood inundation area. An
671 evaluation of probabilistic inundation maps reveal that the probability of flooding is likely to

672 increase by up to 30% and 60% respectively under the near future and far future scenarios
673 respectively. The relative sensitivity experiments further demonstrate that the precipitation is the
674 most sensitive factor affecting flood regime including flood inundation areas and depth. The
675 choice of meteorological forcings can contribute to up to a 16% change in the flood inundation
676 area. Further, the flood inundation elasticity relationships developed between peak streamflow
677 and corresponding flood inundation area reveals the uncertainties associated with the shape and
678 timings of hydrographs originating from the spatiotemporal variability in precipitation along
679 with the watershed heterogeneity.

680 This study presents a unique framework of high resolution process-based hydro-
681 meteorological and two-dimensional numerical flood model to enable generation of probabilistic
682 flood inundation maps through an ensemble-based approach for PMP and PMF estimation. The
683 uncertainties associated with the most sensitive factor i.e. precipitation and others can be
684 successfully captured with an ensemble approach as presented in this study. The comprehensive
685 relative sensitivity analysis and its effects on flood regime further identifies the most important
686 factors causing changes to flood regimes. Although, the study has focused on a particular
687 HUC08 basin, the framework can be extended to other regions to generate ensemble based
688 probabilistic flood inundation maps. These maps can serve as an important tool and provide
689 additional information to decision makers compared to deterministic inundation maps obtained
690 from conventional approach. Such an evaluation of a region not only determines the regions
691 under flood risks, but also informs the stakeholders about the probability of inundation to enable
692 an informed decision.

693

694

695 **Acknowledgement**

696 This study was supported by the Hydropower Research Foundation, US Department of
697 Energy (DOE) Water Power Technologies Office, Biological and Environmental Research
698 Integrated Assessment Program. The research used resources of the Oak Ridge Leadership
699 Computing Facility at Oak Ridge National Laboratory. Parts of co-authors are employees of UT-
700 Battelle, LLC, under contract DE-AC05-00OR22725 with DOE. Accordingly, the US
701 government retains and the publisher, by accepting the article for publication, acknowledges that
702 the US government retains a nonexclusive, paid-up, irrevocable, worldwide license to publish or
703 reproduce the published form of this manuscript, or allow others to do so, for US Government
704 purposes. The sources of the input datasets used in the study are provided in the paper where
705 applicable. The meteorological data used in this study were obtained from Rastogi et al, (2017).
706 The hydrologic model DHSVM v3.1.1 used in this study is open source and available at:
707 <https://dhsvm.pnnl.gov/code.stm> and setup was obtained from Gangrade et al, (2018). Other data
708 questions can be directed to S.-C. Kao (kaos@ornl.gov) and/or Sudershan Gangrade
709 (gangrades@ornl.gov) at the ORNL.

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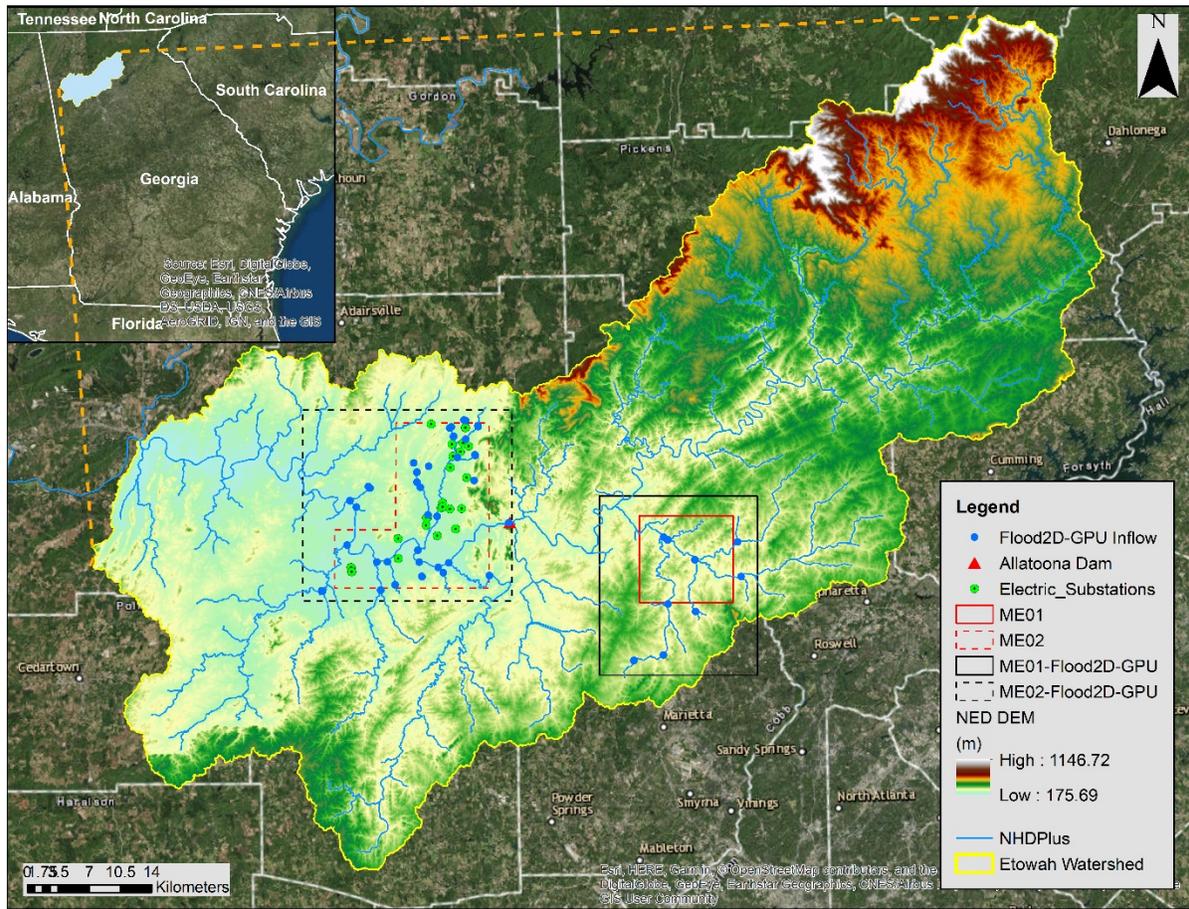
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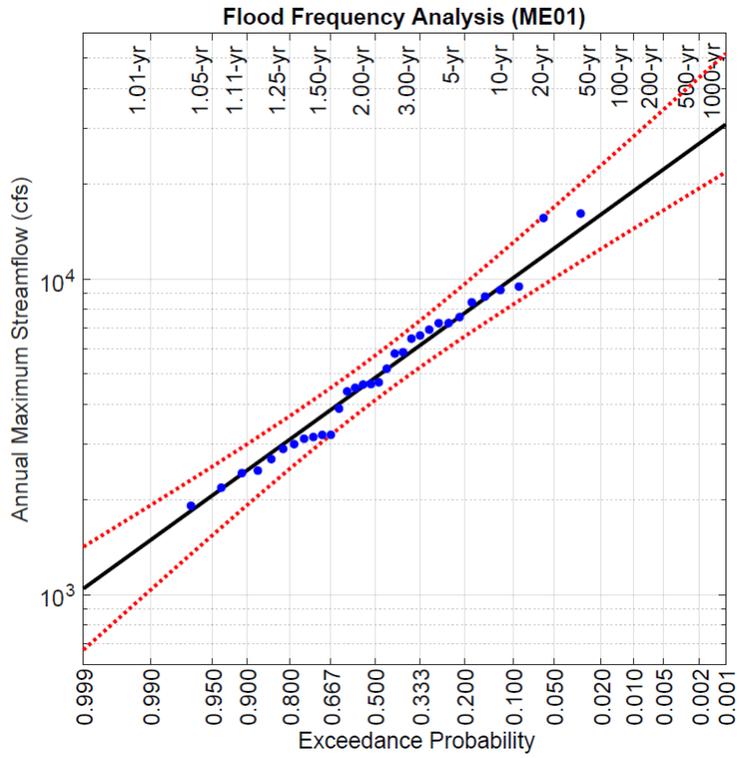
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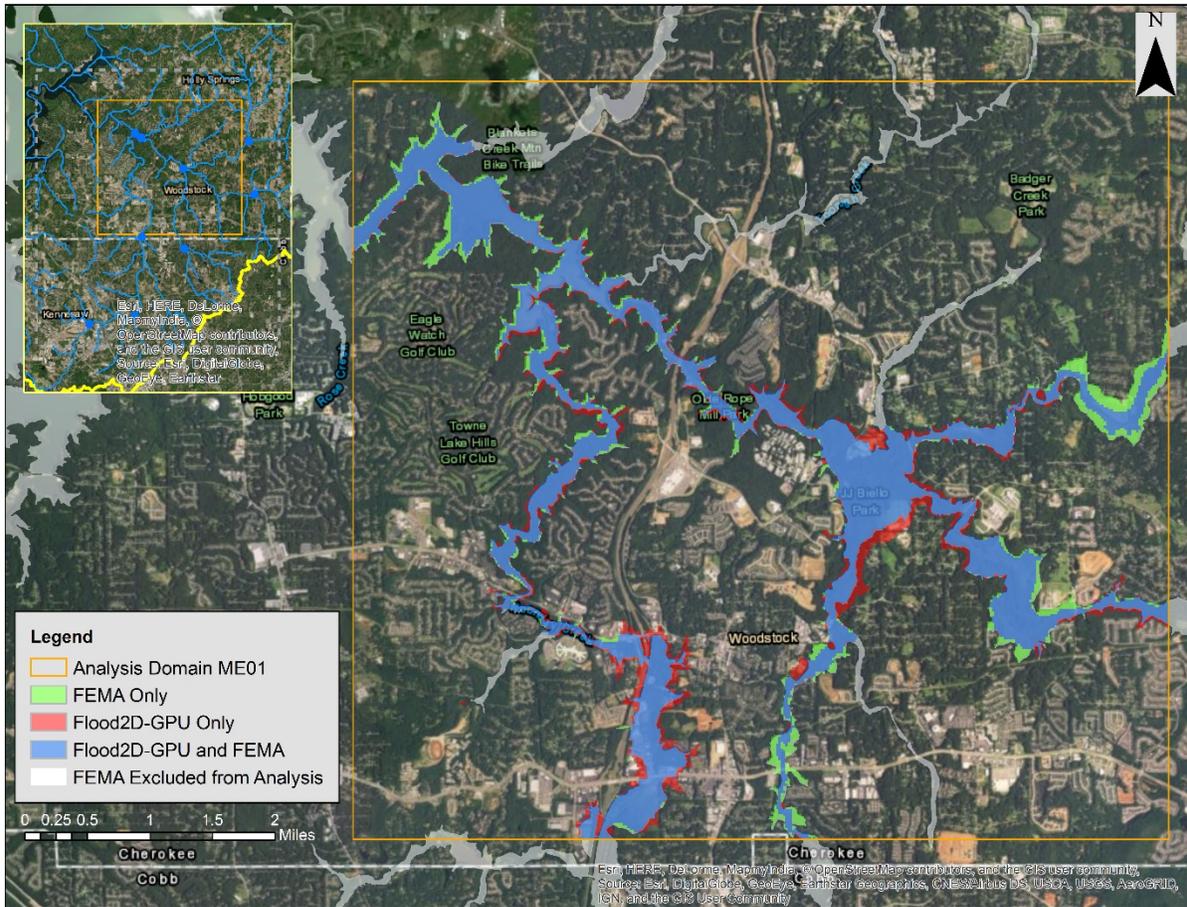


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828 **Figure 1.** Etowah watershed with two selected areas of interest: ME01 and ME02; along with
829 Flood2D-GPU setup including computational domains, DEM, inflow locations and stream
830 network. The inserted panel at left shows the overall location of Etowah Watershed in the state
831 of Georgia, United States.

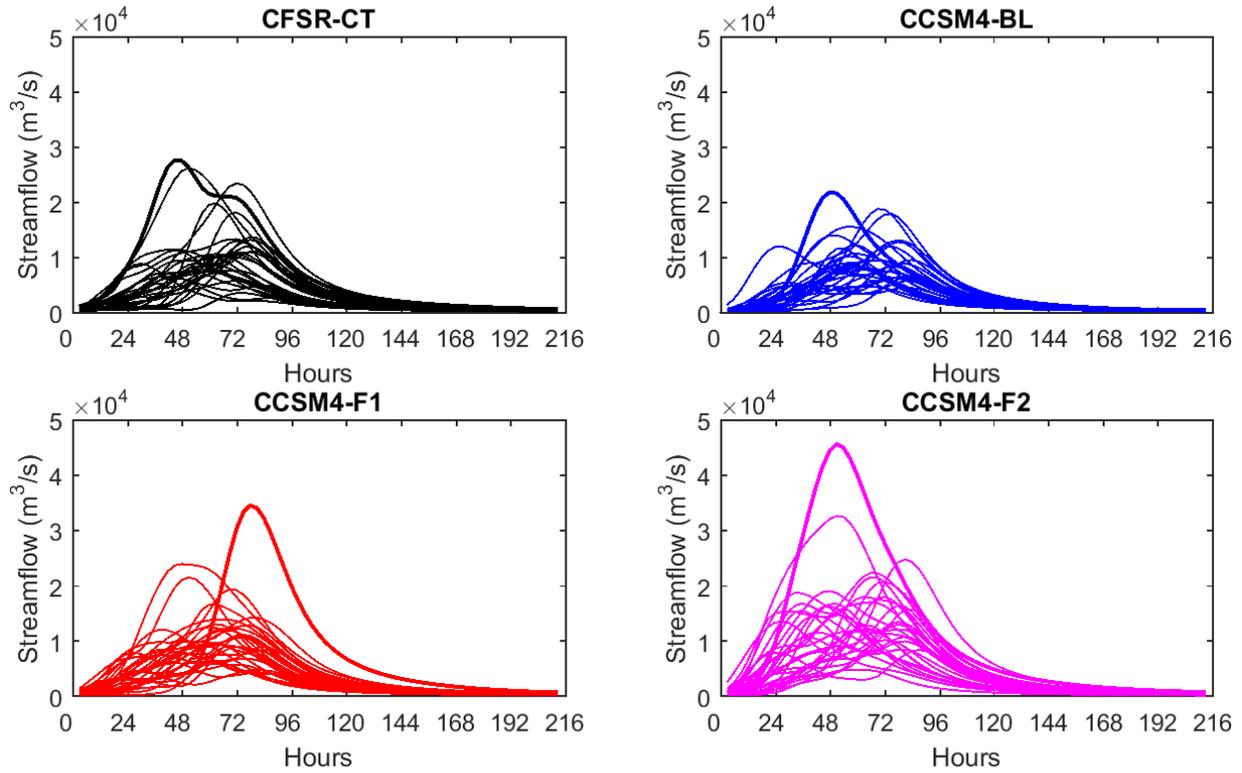


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Figure 2. Standard flood frequency analysis for ME01 as per the guidelines of Bulletin 17B.

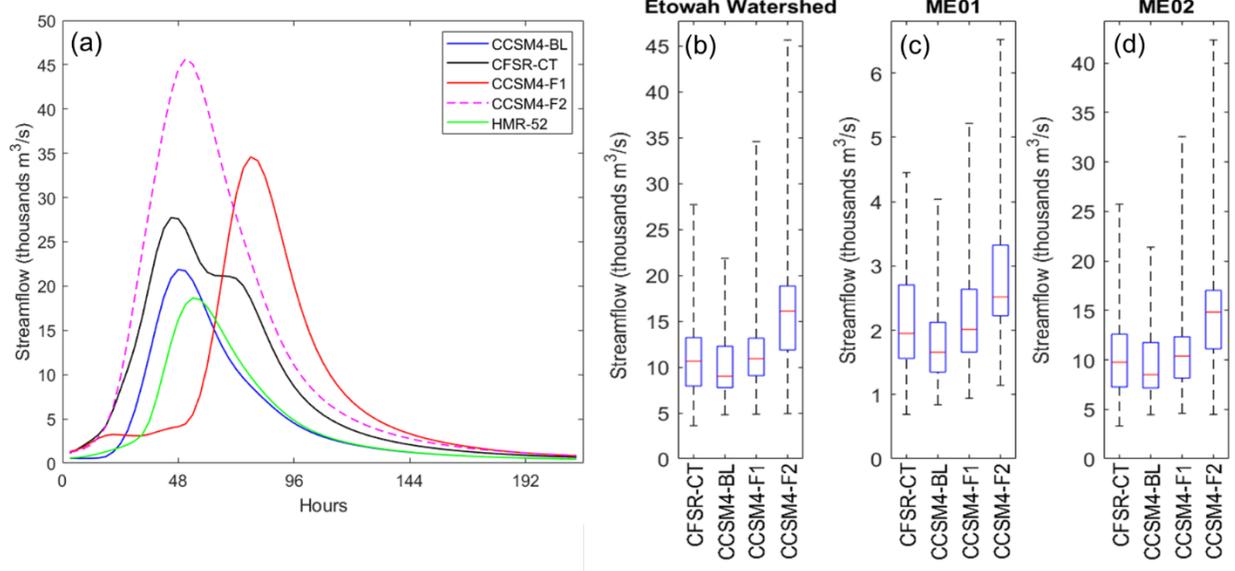


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 839 **Figure 3.** A comparison of flood inundation spatial extents obtained from Flood2D-GPU and
 840 FEMA for 100-year flood event. The regions flooded with both Flood2D-GPU and FEMA flood
 841 zones are presented in blue. The region in red/green represent the cells flooded only by Flood2D-
 842 GPU/FEMA.
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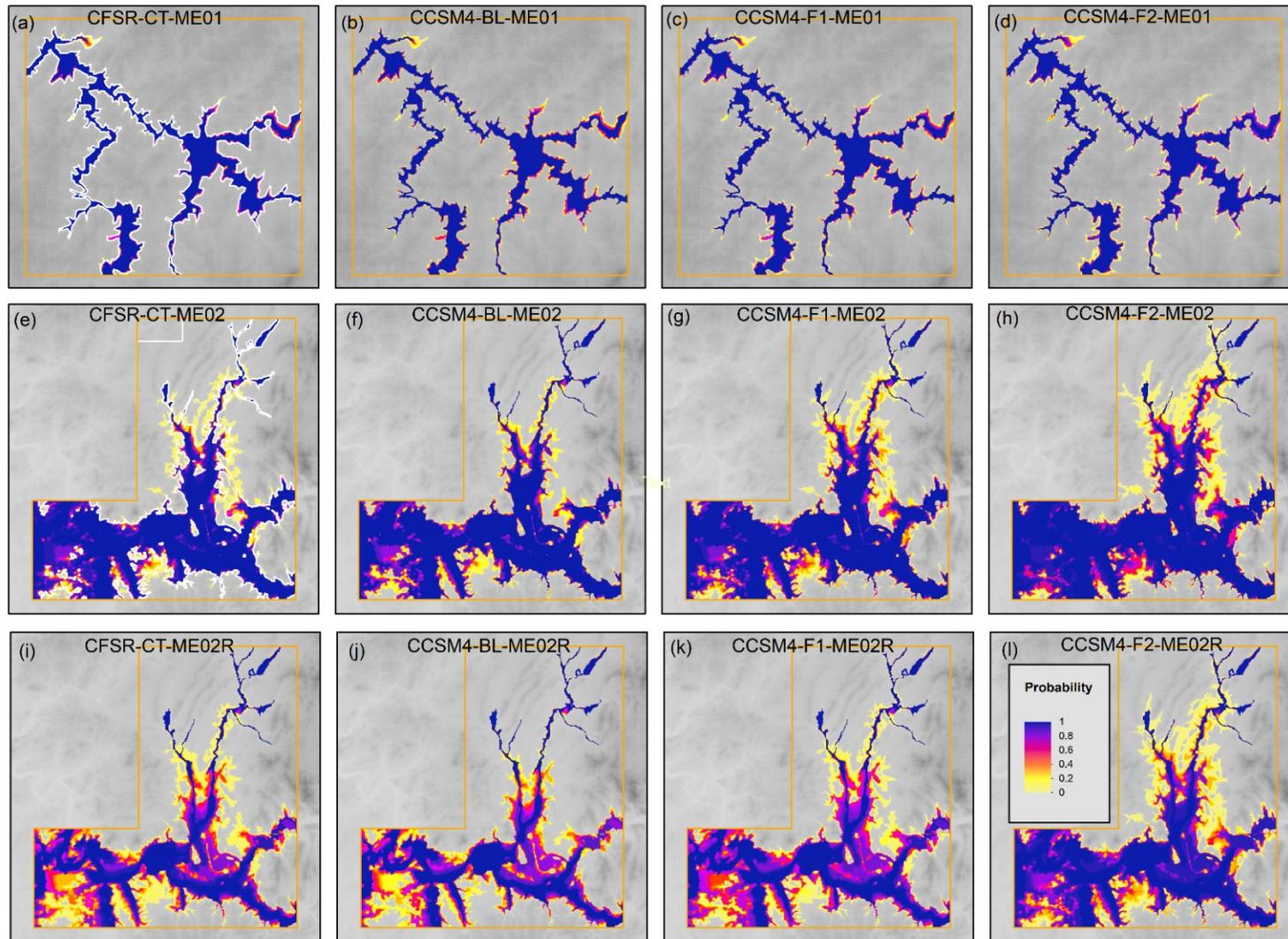


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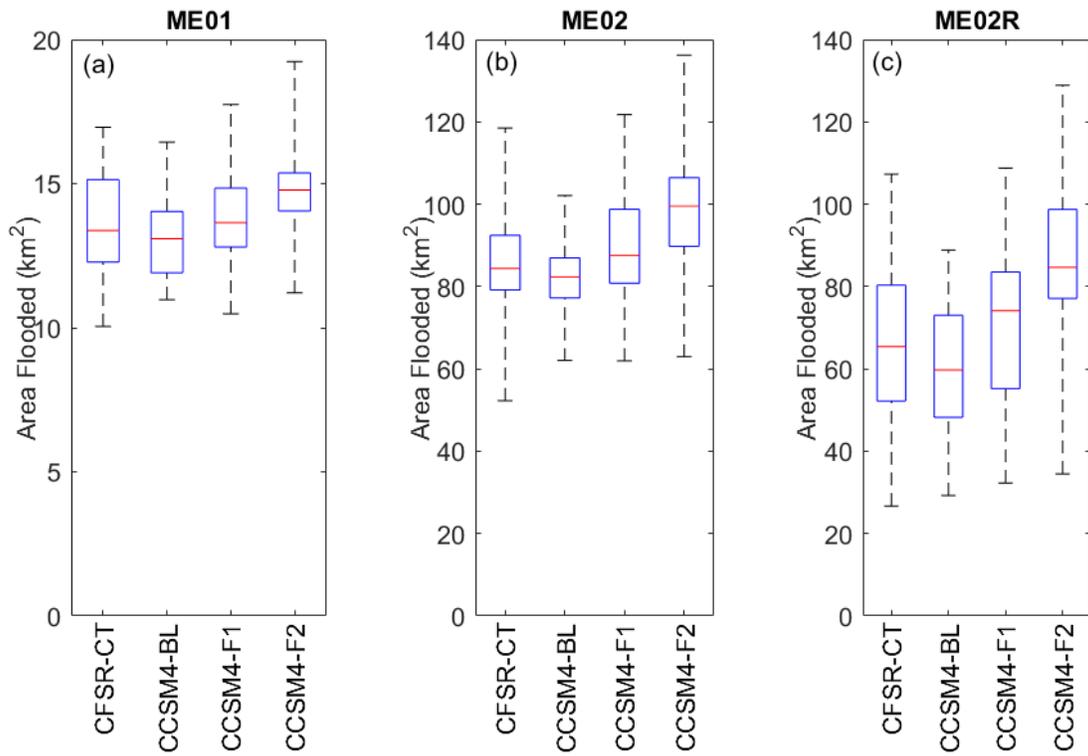
Figure 4. Ensemble PMF hydrographs for each set of PMP storms (CFSR-CT, CCSM4-BL, CCSM4-F1, and CCSM4-F2) at the outlet of Etowah Watershed. The hydrograph resulting in peak discharge is presented as a thick line.



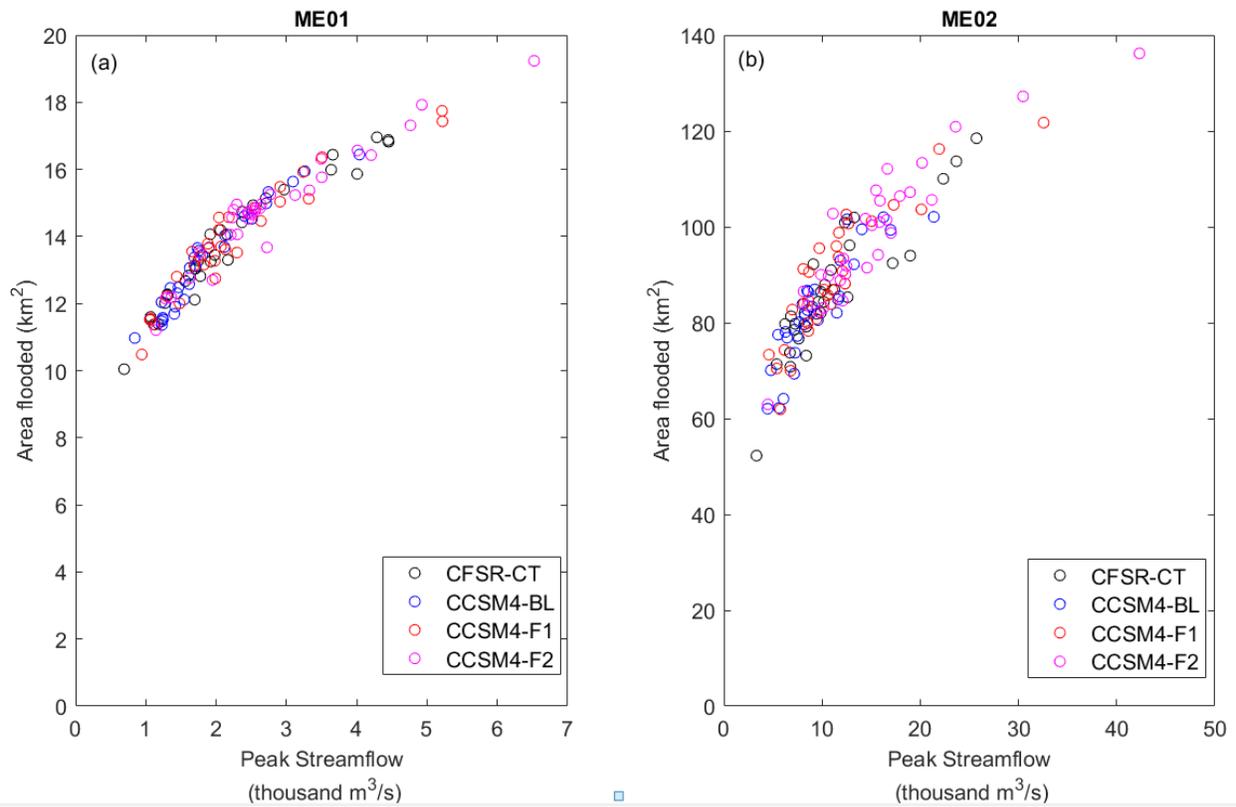
851
 852 **Figure 5.** PMF Hydrographs selected based on peak discharge for Etowah Watershed (Panel a)
 853 and range of peak discharge for each set of simulations (CFSR-CT, CCSM4-BL, CCSM4-F1,
 854 and CCSM4-F2) for Etowah Watershed and ME01 and ME02 (Panel b, c and d respectively).
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 858 **Figure 6.** Probabilistic flood maps for ME01 (a through d), ME02 (e through h), ME02 with reservoir regulation (i through m) for
 859 each of the storm sets CFSR-CT, CCSM4-BL, CCSM4-F1 and CCSM4-F2. The Panels a and e also show HMR52 based flood extents
 860 in white contour.
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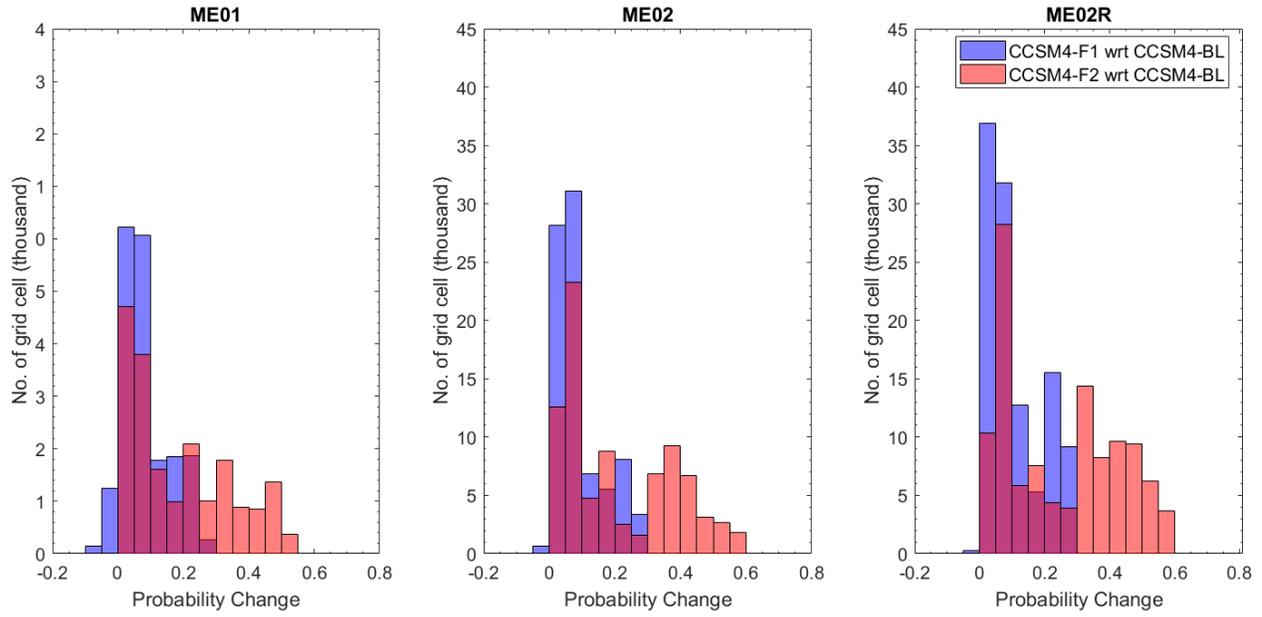


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 863 **Figure 7.** Boxplot showing the range of area under inundation for each of the storms sets for
 864 region ME01 (Panel a), ME02 under natural flow condition (Panel b) and ME02 under reservoir
 865 regulation (Panel c).
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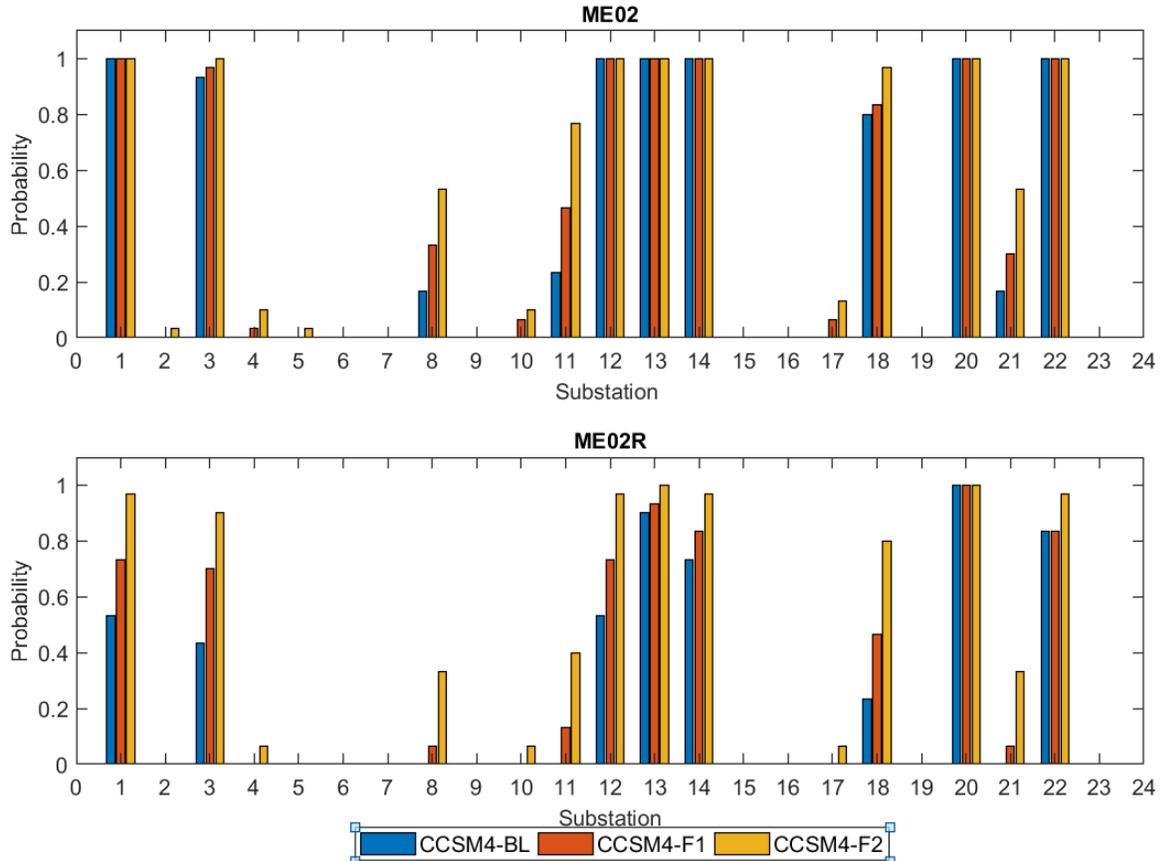
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Figure 8. Flood inundation elasticity with respect to peak discharge for each set of simulations (CFSR-CT, CCSM4-BL, CCSM4-F1, and CCSM4-F2) for ME01 and ME02.

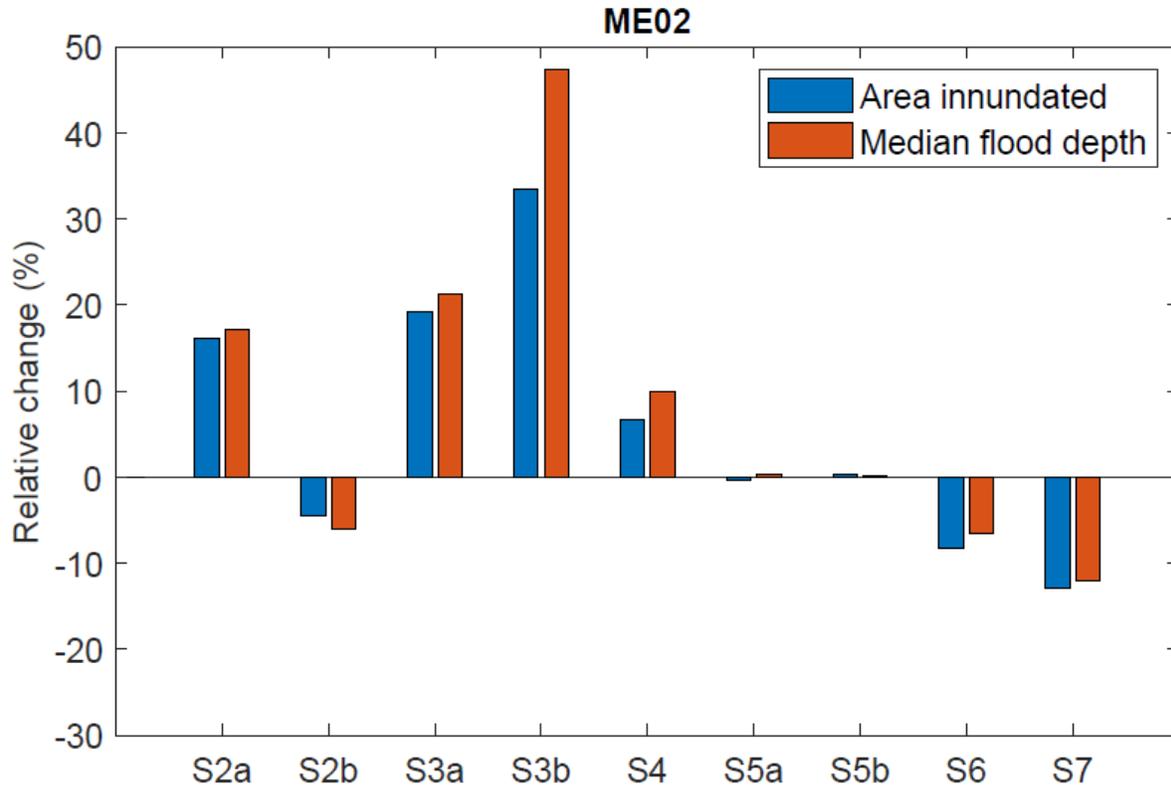


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Figure 9. Changes in flood inundation probability for near future (CCSM4-F1) and far future (CCSM4-F2)



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 879 **Figure 10.** Probability of flooding for 23 selected substations for each storm set i.e. CCSM4-BL,
 880 CCSM4-F1 and CCSM4-F2 for ME02 under natural flow (panel a) and under ideal reservoir
 881 regulation (panel b).
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 885 **Figure 11.** Figure summarizing relative sensitivity of area innundated and median flood depths
 886 from each scenario with reference to scenario 1 (S1). The relative change is calculated by
 887 comparing the percent change of the given variable with reference to control scenario (S1).
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890 **Table 1.** Contingency Table for the analysis domain in ME01 represented as a fraction of total
891 number of cells in the analysis domain.

Cells	Wet in Model (M1)	Dry in Model (M0)
Wet in FEMA (B1)	0.0798 (M1B1)	0.0181 (M0B1)
Dry in FEMA (B0)	0.0142 (M1B0)	0.8880 (M0B0)

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894 **Table 2.** Key flood model performance metrics calculated for ME01 for a 100-year ensemble
 895 flood event. Adopted from Wing et al. (2017) .

Criterion	Formula	Calculated Value	Range	Description
Hit Rate (H)	$M1B1 / (M1B1 + M0B1)$	0.82	0 - 1 0 = (none of the wet benchmark data are wet model data) 1 = (all of the wet benchmark data are wet model data).	Measure of tendency of model to accurately predict the benchmark flood extents
False Alarm Ratio (F)	$M1B0 / (M1B0 + M1B1)$	0.15	0 - 1 0 (no false alarms) 1 (all false alarms).	Measure of tendency to overpredict flood extent
Critical Success Index (C)	$M1B1 / (M1B1 + M0B1 + M1B0)$	0.71	0 - 1 0 (no match between modeled and benchmark data) 1 (perfect match between modeled and benchmark data).	Measure of fit with penalty for overprediction and underprediction
Error (E)	$M1B0 / M0B1$	0.78	0 - infinity E=1: no bias, 0 ≤ E < 1 indicates a tendency toward underprediction, and 1 < E ≤ infinity indicates a tendency toward overprediction.	Measure of tendency toward overprediction or underprediction.

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